

Neutral processes in SOLPS-ITER

D Moulton

Overview

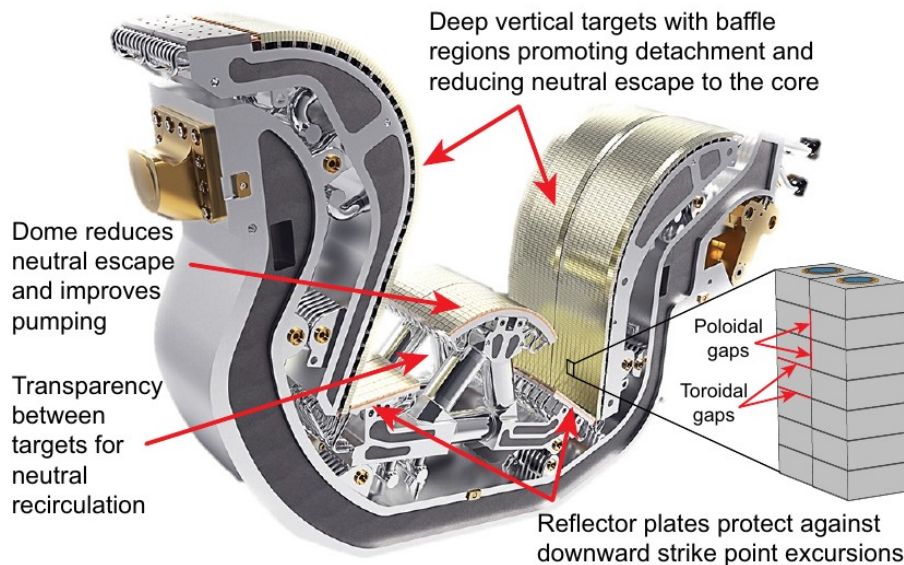
- Introduction
- Consider reactions / collisions involving:
 - Atoms and plasma
 - Atomic ion and electron recombination
 - Molecules and plasma
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 - Neutral-neutral collisions
- Surface processes
- Concluding remarks / other issues

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Introduction

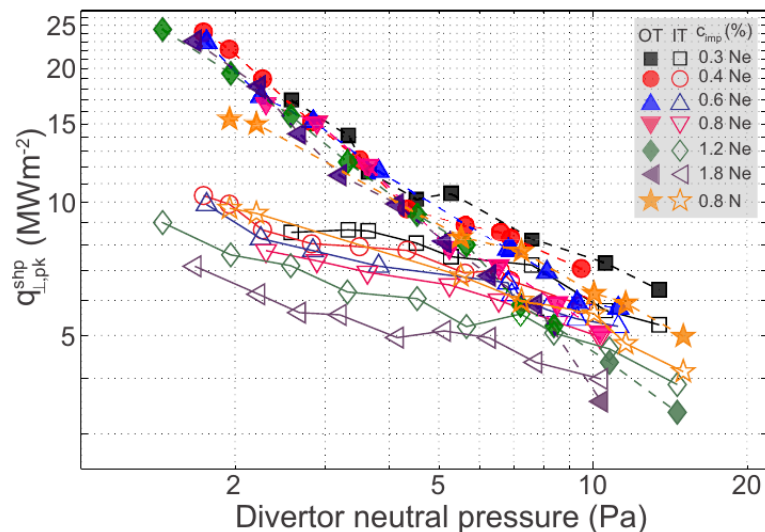
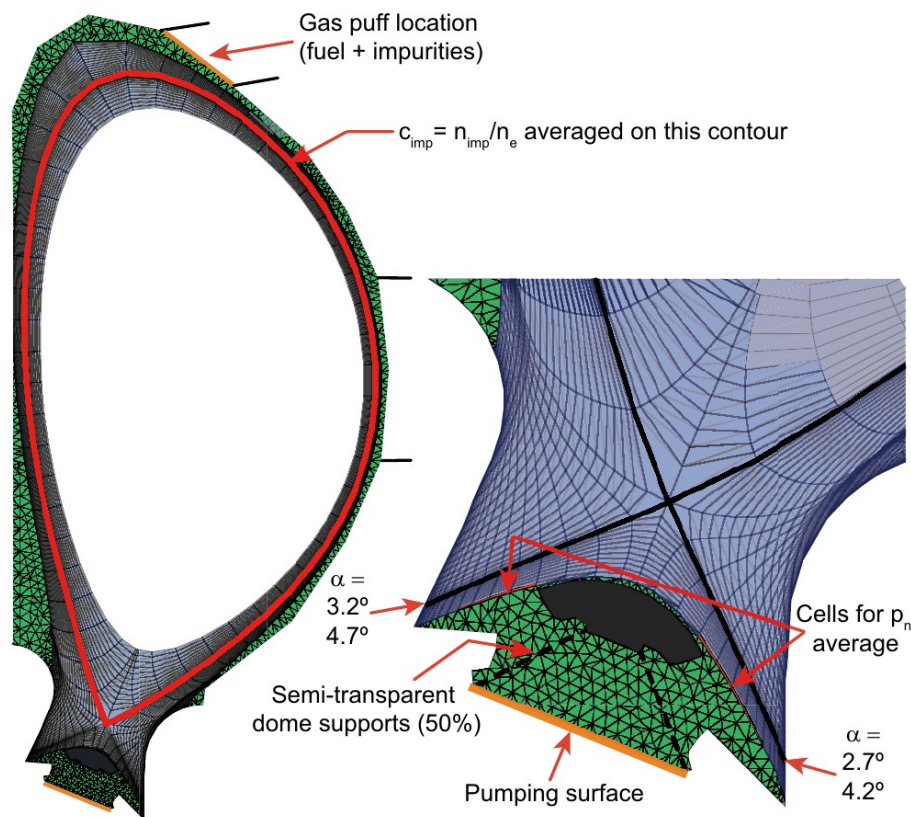
- The SOLPS-ITER code [Wiesen et al. JNM (2015) **463** 280, Bonnin et al. PFR (2016) **11** 1403102] is used to interpret and predict plasma and neutral behaviour at the edge of tokamaks



[Pitts et al. NME (2019) **20** 100696]

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Introduction

- The SOLPS-ITER code [Wiesen et al. JNM (2015) **463** 280, Bonnin et al. PFR (2016) **11** 1403102] is used to interpret and predict plasma and neutral behaviour at the edge of tokamaks
- SOLPS-ITER consists of a coupling between a multifluid (D, He, Ne...) plasma fluid code (“B2.5”) and a kinetic Monte-Carlo neutral code (“EIRENE” [www.eirene.de, V Kotov PhD Thesis (2007) “Numerical study of the ITER divertor plasma with the B2-EIRENE code package”])
- Neutrals are likely to be an important mechanism for reducing target loads in a reactor; getting neutral processes correct is essential
- Neutral processes also influence
 - neutral penetration to the core plasma (affects confinement)
 - helium pumping
 - impurity enrichment in the divertor
- I won’t talk about the code itself here but rather the ‘default’ neutral processes that it models

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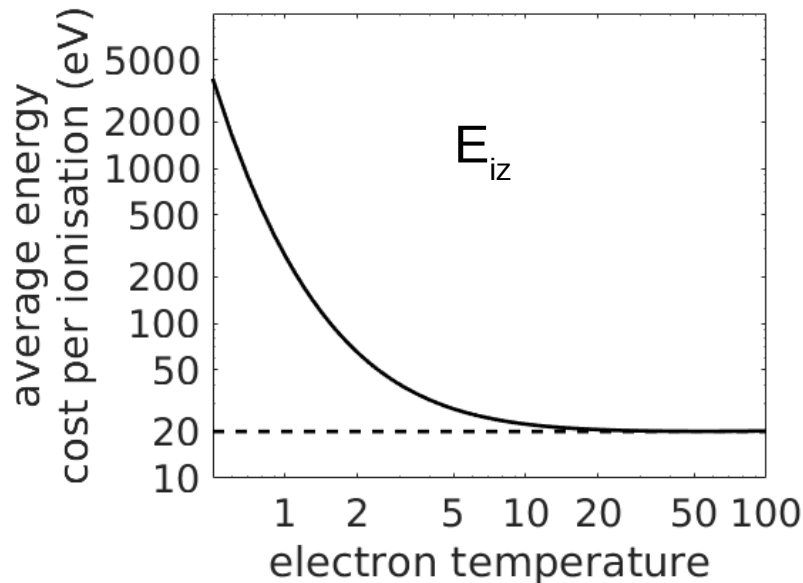
Atoms and plasma

$H + e \rightarrow H^+ + 2e$	Ionisation	AMJUEL H.4,10 2.1.5
$H^+ + H \rightarrow H + H^+$	Charge exchange	HYDHEL H.1,3 3.1.8

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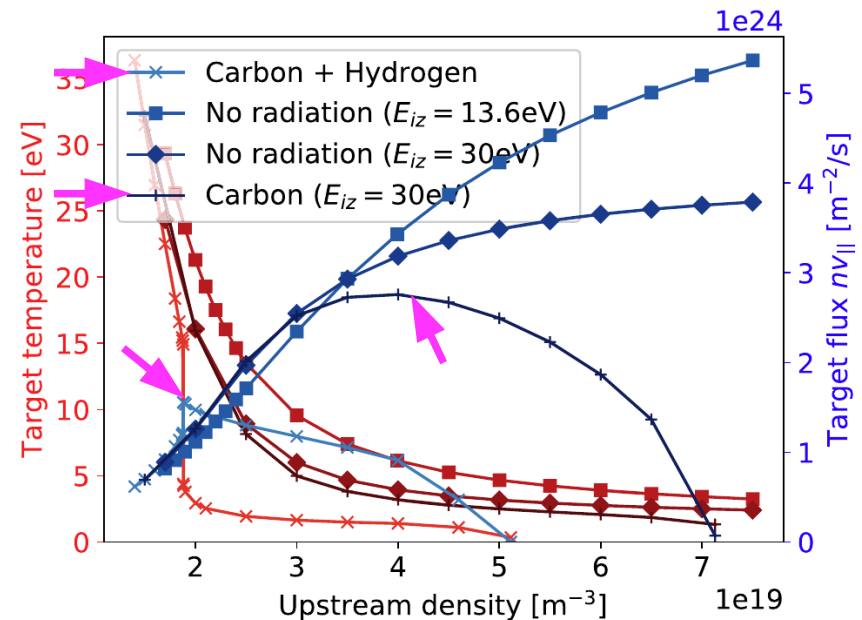
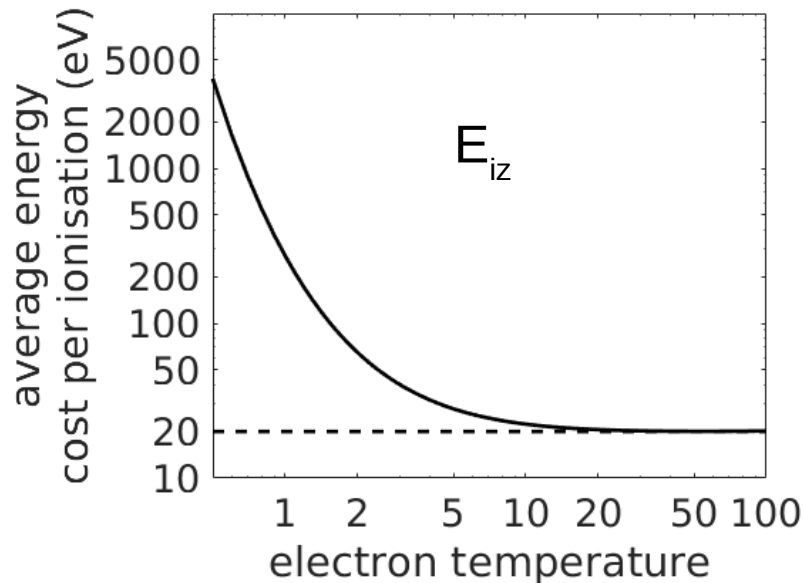
- The effective electron energy cost per ionisation increases strongly below ~5 eV



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- The effective electron energy cost per ionisation increases strongly below ~5 eV
- In SD1D simulations, this behaviour significantly shifts the upstream density at which the target ion flux rolls over [Dudson et al. PPCF (2019) **61** 065008]



Atoms and plasma

$H + e \rightarrow H^+ + 2e$	Ionisation	AMJUEL H.4,10 2.1.5
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- The atom ionisation particle and energy rates used are '**effective**' rates.
- '**Effective**' means that, although they are applied to ground state atoms, the rates assume a population distribution for the electronically excited states of the atoms.
- When calculating that population distribution, a collisional-radiative model is applied that assumes
 - Maxwellian background electrons
 - H atoms with excited energy state lifetimes $\ll$ both their transport time scales and the characteristic time scale of heavy particle collisions
 - No molecules (when deciding whether to ionise an atom, we assume that recently-dissociated atoms do not contribute significantly to the excited atom population distribution)

Atoms and plasma

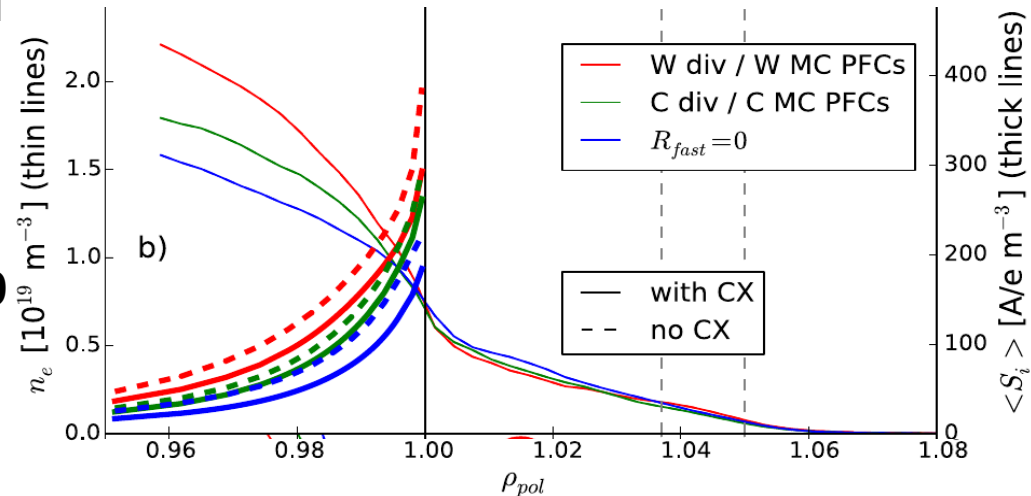
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- In the absence of molecules, charge exchange is the dominant plasma momentum sink in the volume.
- With molecules, elastic collisions between H_2 and H^+ tend to dominate the momentum sink (see later)
- However, charge exchange still plays a key role in setting the mean free path of the atoms, important in setting the atom distribution over the entire volume.

Atoms and plasma

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- CX is important in setting the flux of neutrals that cross the separatrix, which can itself influence the pedestal pressure.
- In EMC3-EIRENE simulations of ASDEX-Upgrade, CX was found to **decrease** the neutral flux across the separatrix [Lunt et al. PPCF (2017) 59 055016]
- Isotropic scattering of neutrals that were otherwise moving towards the separatrix outweighs the gain in MFP due to higher atom energy after CX collision.



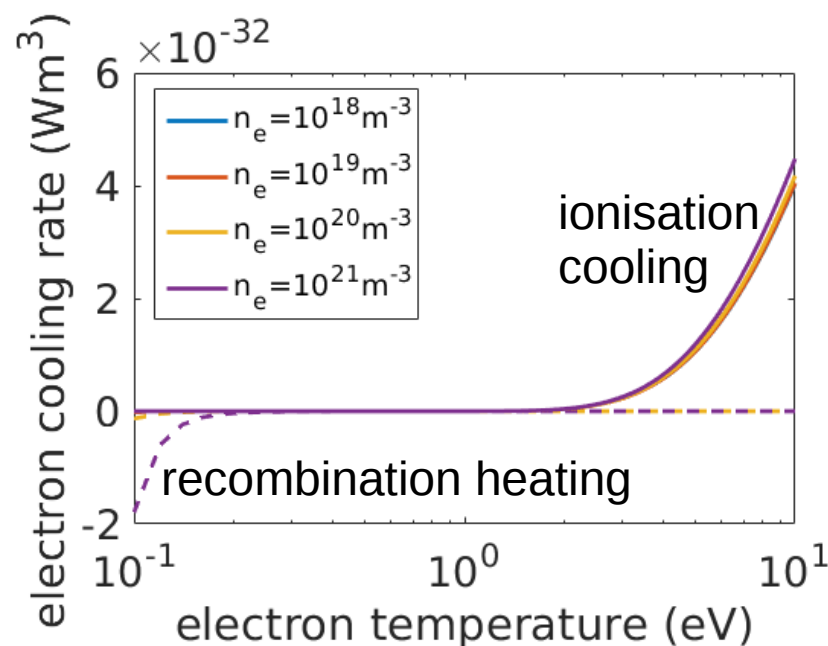
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Atomic ion-electron recombination

$H^+ + e \rightarrow H + h\nu$	Radiative recombination	AMJUEL H.4,10 2.1.8
$H^+ + 2e \rightarrow H + e$	3-body recombination	

- The effective recombination rate accounts for radiative and 3-body recombination
- Rates become significant below ~ 1 eV
- Potentially important in reducing the D^+ flux to the target and associated surface recombination load (see later)
- At low n_e , radiative recombination dominates: the potential energy gain of recombination is lost to photons and electrons are neither heated nor cooled
- At high n_e , 3-body recombination dominates and the potential energy gain of recombination is carried away by the secondary electron, heating the electron population



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Molecules and plasma

$\text{H}_2 + \text{e} \rightarrow 2\text{H} + \text{e}$	Dissociation	AMJUEL H.4 2.2.5g
$\text{H}_2 + \text{e} \rightarrow \text{H}_2^+ + 2\text{e}$	Non-diss. ionisation	AMJUEL H.4 2.2.9
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$\text{H}_2 + \text{H}^+ \rightarrow \text{H}_2^+ + \text{H}$	Ion conversion	AMJUEL H.2 3.2.3
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- As for the effective atom ionisation, the effective molecular rates account for the distribution of (some) electronically-excited and vibrationally-excited states, calculated using a collisional-radiative model.

Molecules and plasma

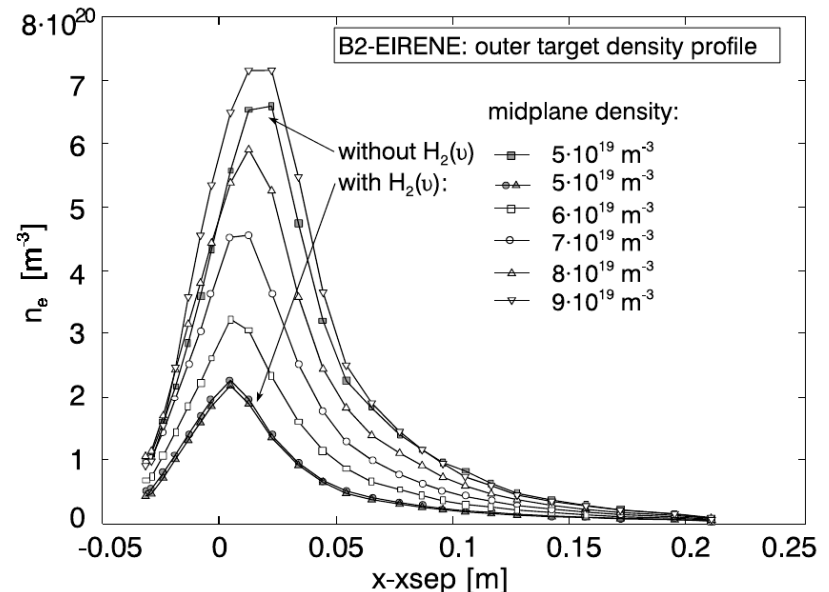
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- When vibrational states are considered, the following assumptions are made:
 - Only vibrational excitation by electron impact is accounted for (no radiative decay of electronically excited states).
 - The transport time of vibrational states is assumed long compared to their lifetime (may not be a good assumption in the divertor – see later).
 - Rotational energy of molecules is not accounted for.
 - For ion conversion, a fixed KE for H_2 of 0.1 eV is assumed, and that $T_e = T_i \rightarrow$ rate coefficient is a function of T_e only.

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- [Fantz et al. JNM (2001) 290-293 367] looked at the effect of tracking the movement of vibrational states within EIRENE
- Found a significant effect on target density for the same upstream density on ASDEX-Upgrade



Molecules and plasma

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- Energy balance is strongly simplified
- E.g. for dissociation: both resulting atoms have 3 eV kinetic energy (+4.5 eV potential energy of the molecule → 10.5 eV loss from electron channel)
- Similarly simple assumptions for all other electron-molecule and electron-molecular ion reactions
- There are electron energy loss rates available within AMJUEL for dissociation and non-dissociative ionisation (H.10 2.2.h2c), but not currently used by default? References looking into this effect?

Molecules and plasma

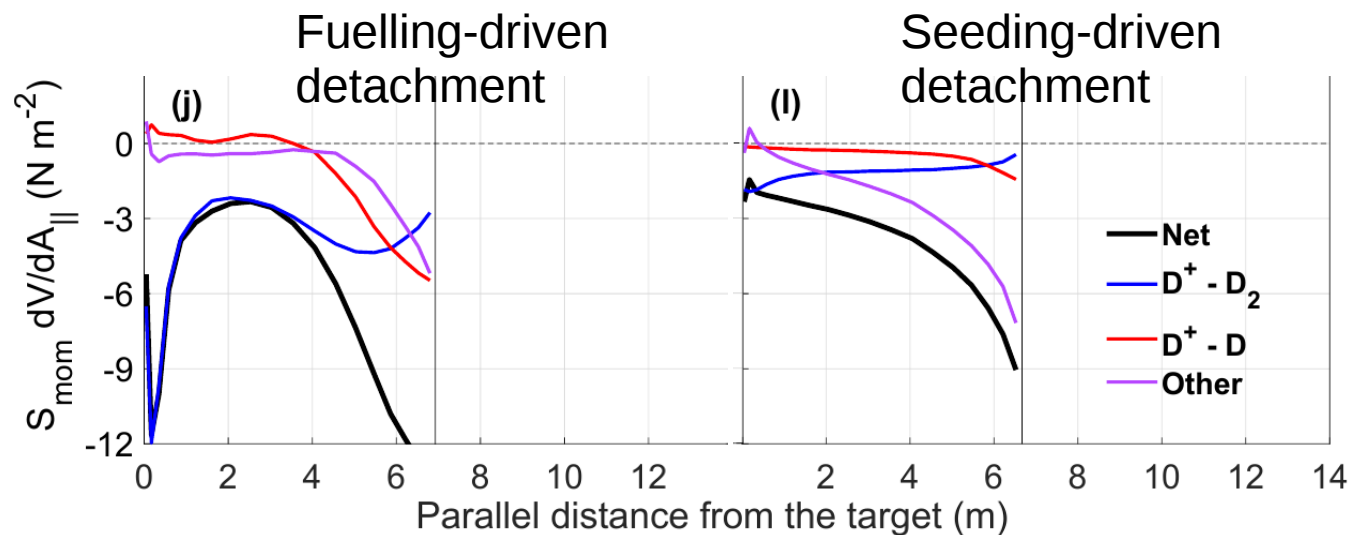
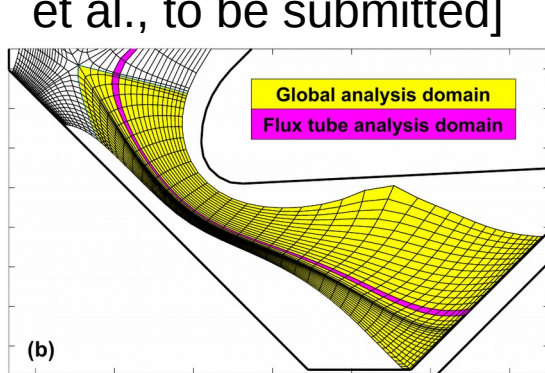
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- Elastic collisions between atomic ions and molecules are treated classically [section 3.1 of Kotov's PhD thesis]
- They are typically the dominant momentum sink, and can be an important ion energy sink as well

Molecules and plasma

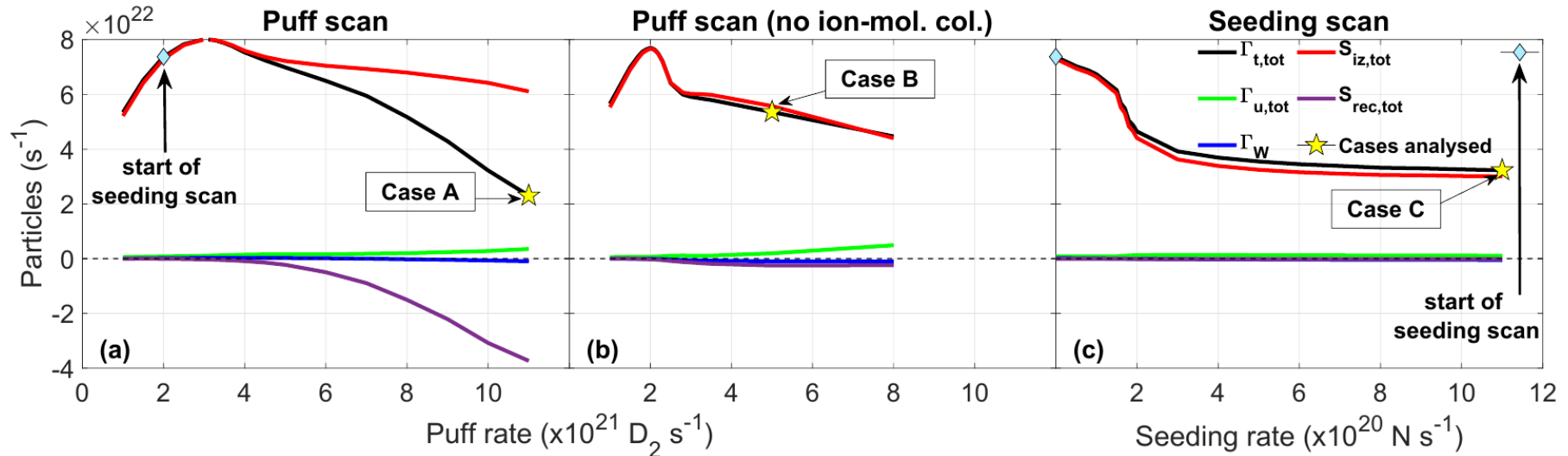
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MAST-U predictive simulations [O Myatra et al., to be submitted]



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- $\text{D}_2 + \text{D}^+$ elastic collisions are necessary for the fuelling-driven detachment simulations to have significant recombination, not seen in seeding-driven detachment

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Molecular ions and plasma

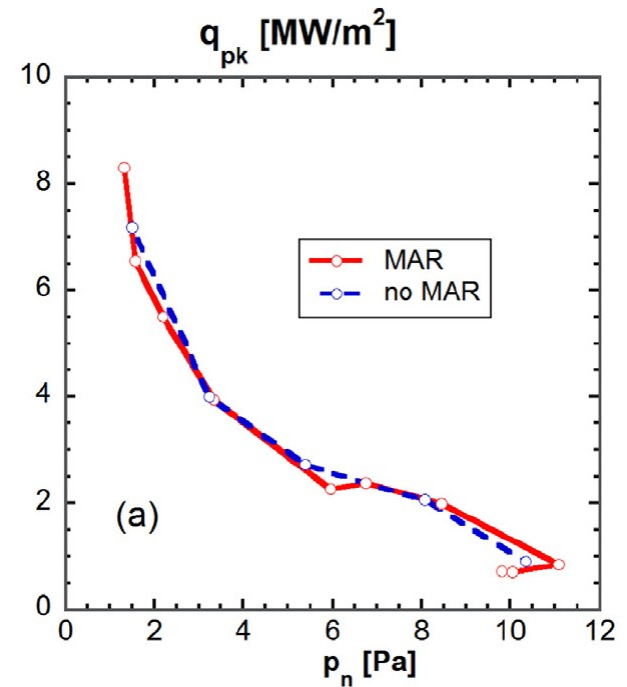
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$\text{H}_2^+ + e \rightarrow 2\text{H}^+ + 2e$	Dissociative ionisation	AMJUEL H.4 2.2.11
$\text{H}_2^+ + e \rightarrow 2\text{H}$	Diss. recombination	AMJUEL H.4,8 2.2.14

- Transport of H_2^+ molecular ions are not followed (marginal assumption if $n_e < 1\text{E}20\text{m}^{-3}$).
- Recombination of molecular ions into molecules is not accounted for, neither are negative ions H^- or molecular ions H_3^+ .
- Vibrational states of H_2^+ are not taken into account explicitly, but the rate coefficients are averaged over the vibrational distribution of the products of non-dissociative ionisation $\text{H}_2 \rightarrow \text{H}_2^+$.
- Simple energy loss models are used by default (e.g. both atoms after dissociative recombination given 0.5 eV).
- Exception is dissociative recombination where effective energy loss calculated by collisional radiative is used.

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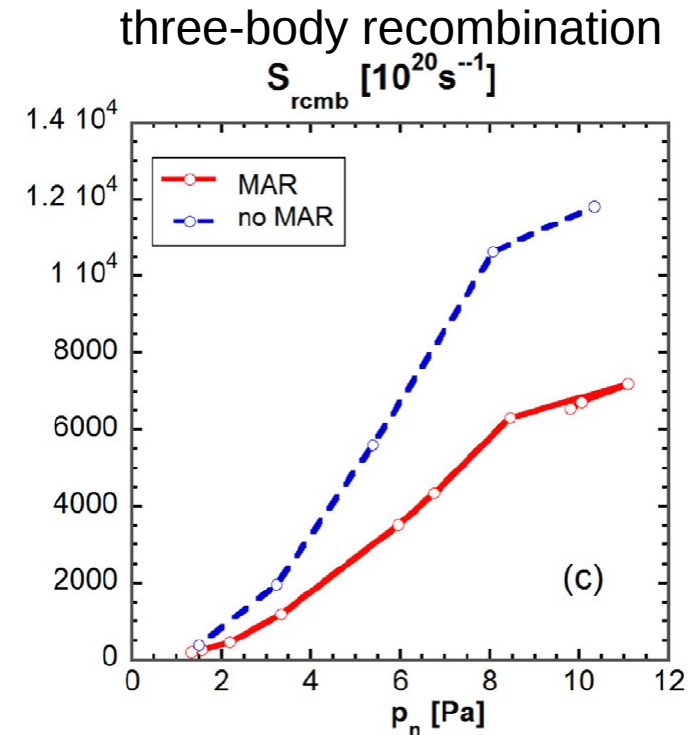
- Ion conversion ($\text{H}_2 + \text{H}^+ \rightarrow \text{H}_2^+ + \text{H}$) followed by dissociative recombination is termed “molecular assisted recombination” (MAR) and can act as a sink of atomic ion-electron pairs
 - potentially reduces the surface recombination heat load
- Inclusion of MAR in SOLPS modelling of ITER has so far not shown a strong influence on most important parameters [Kukushkin et al. NME (2017) 12 984]



Molecular ions and plasma

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- Three-body recombination seen to compensate for MAR, possibly due to additional electron heating → more ionisation → higher density → more three body recombination.



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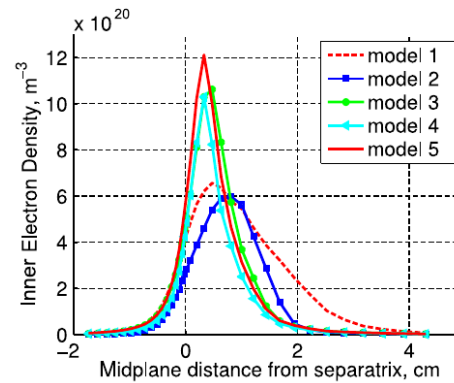
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Neutral-neutral collisions

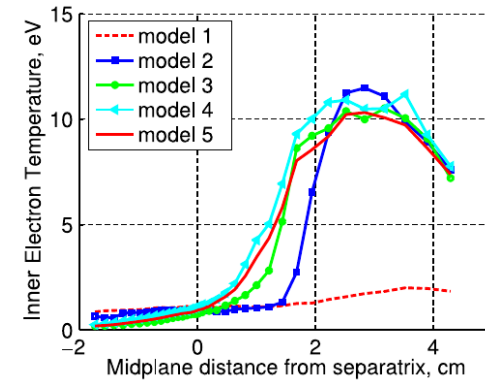
- “BGK” collision rates calculated using empirical data on viscosity and diffusivity.
- Neutral-neutral collisions are not particularly important on most current machines (e.g. JET [Kotov et al PPCF (2008) **50** 105012], MAST-U).

No	Description
1	EIRENE 1996
2	Model 1 plus up-to-date chemistry of D ₂ and MAR
3	Model 2 plus elastic collisions D ₂ + D ⁺
4	Model 3 plus neutral-neutral collisions
5	Model 4 plus photon opacity

INNER

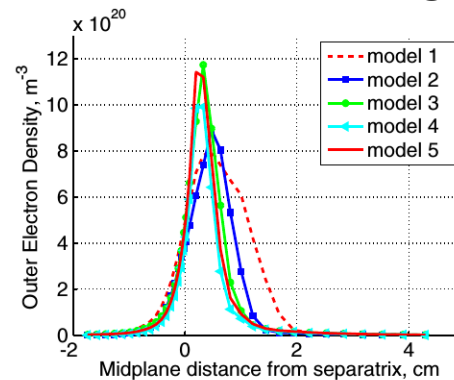


(a) Electron Density

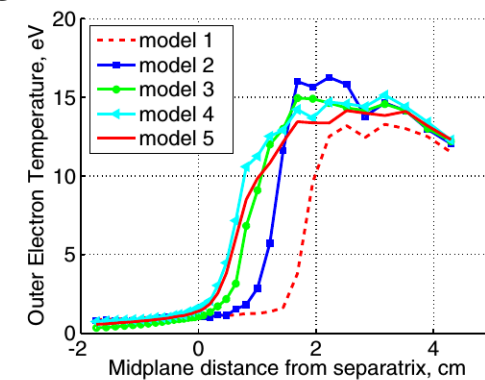


(b) Electron Temperature

OUTER



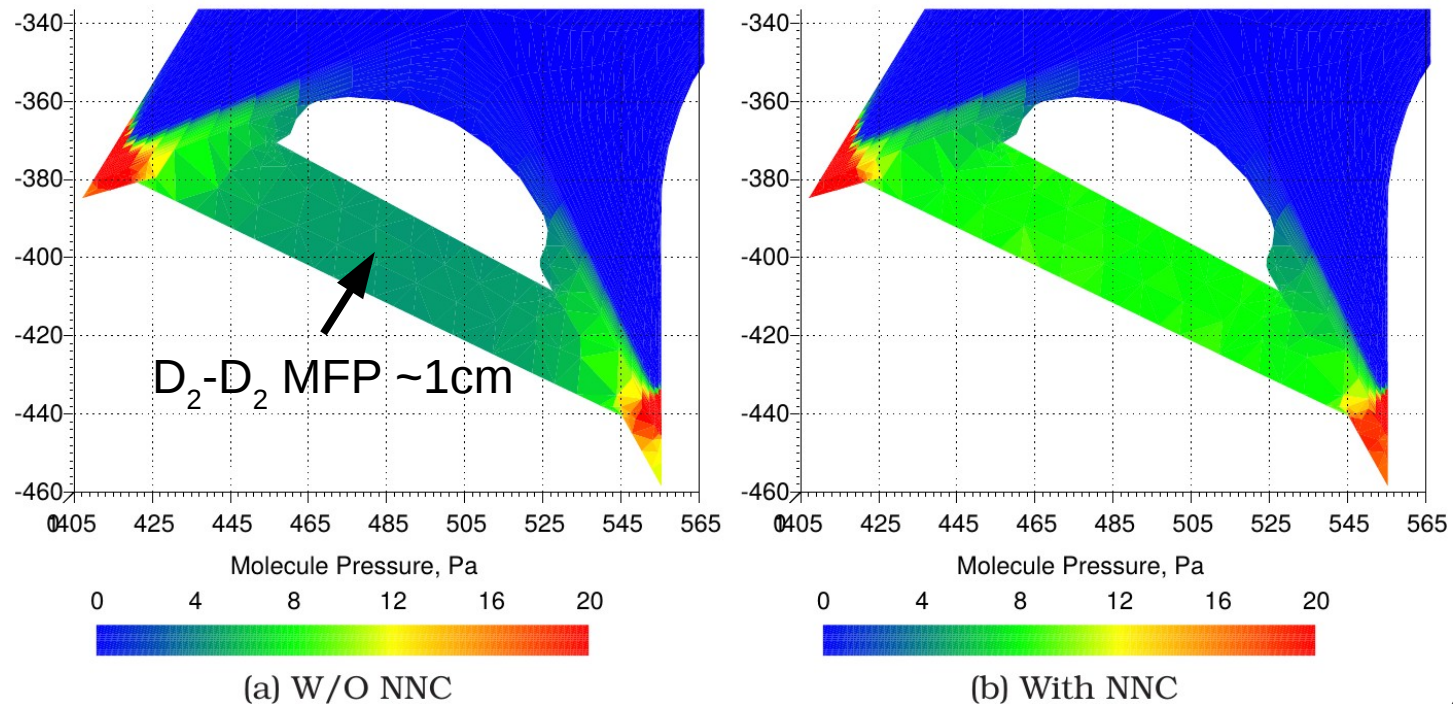
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(b) Electron Temperature

Neutral-neutral collisions

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- Only important in very high (neutral) density machines (e.g. C-Mod, ITER).
- For ITER, neutral-neutral collisions are necessary for correct prediction of neutral conductance under the ITER dome, and for correct prediction of helium pumping [Kotov PhD thesis],



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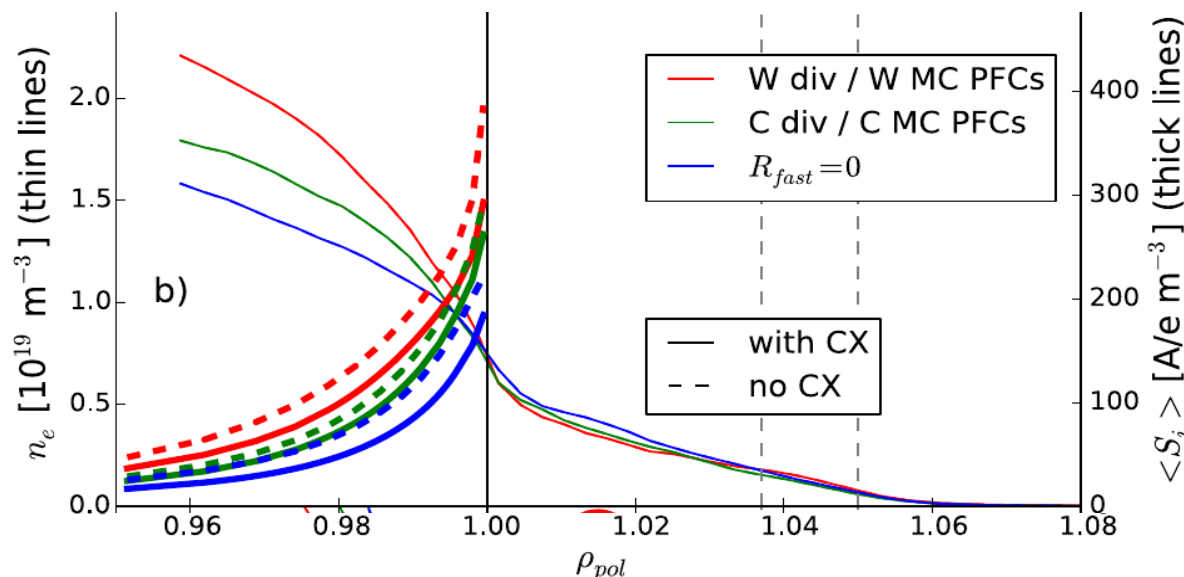
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Surface processes

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 - Reflect as fast atoms, losing relatively little kinetic energy
 - Pre-computed tables are calculated from the TRIM code.
 - Provide PDFs for the existence, energy and angle of the reflected atom, given the incoming energy and angle, and the wall material
 - Assumes a smooth surface.

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 - Assumes a smooth surface.
 - [Lunt et al. PPCF (2017) **59** 055016] showed significant effect of wall material on the neutral penetration in EMC3-EIRENE simulations.



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Concluding remarks / other issues

- Getting neutral processes right is of critical importance for edge plasma modelling in tokamaks
- Knowing what you're missing is not easy when
 - You're a model user rather than a neutral / molecular expert
 - There are many other uncertainties within the SOLPS-ITER model that might cause discrepancies with experiment, besides the rates used
 - Uncertainties in the rates used are not available
- Other 'rate' issues:
 - Ammonia formation when nitrogen is seeded to reduce target loading
 - Carbon sputtering in a carbon wall; following of hydrocarbons [M Wischmeier PhD Thesis (2004)]
 - Tungsten prompt redeposition
 - Mass rescaling
 - Isotope effects (driven by molecular structure rather than just mass)
 - Photon trapping
 - Effect of wall recycling on the distribution of vibrational states.
 - Elastic scattering between D_2 and impurity ions
 - Impurity ion rates (currently taken from ADAS)